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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/830,235	04/24/2001	Aaron Hal Dinwiddie	RCA-89210	4995

7590 12/15/2004

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EXAMINER
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CASIANO, ANGEL L

ART UNIT	PAPER NUMBER
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2182

DATE MAILED: 12/15/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 09/830,235	Applicant(s) DINWIDDIE ET AL.	
	Examiner Angel L Casiano	Art Unit 2182	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 04 October 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,5-7 and 9-17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,5-7 and 9-17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. The present Office action is in response to Amendment submitted 04 October 2004.
2. Claims 1, 5-7, and 9-17 are pending in the application.

#### ***Continued Examination Under 37 CFR 1.114***

3. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 27 August 2004 has been entered.

#### ***Claim Rejections - 35 USC § 112***

4. Previous rejections under 35 U.S.C. 112, second paragraph have been overcome with the corrections filed in the present Amendment.

#### ***Claim Objections***

5. Previous Objections to the claims have been overcome with the corrections filed in the present Amendment.

#### ***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1, 5-7, and 9-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hayes et al. [US 6,223,348 B1] in view of Thomson [US 5,818,029].

Regarding claim 1, Hayes et al. teaches an apparatus for loading computer code (see Abstract; col. 2, lines 27-30; col. 3, line 58). In addition, Hayes et al. discusses a memory card *preloaded* with computer code (see “code data”, col. 6, lines 36-38). The apparatus, as disclosed, teaches a card interface (see col. 5, line 54) capable of distinguishing between card types (see col. 6, line 61). As it is well known in the art, integrated circuit cards and memory cards are types of storage devices in card format. The apparatus, as cited by Hayes et al. teaches memory for storing computer code for execution (see col. 6, lines 66-67). Hayes et al. explicitly teaches a computer controlled device memory unit (see col. 7, line 8) for storing a computer code that is downloaded (see col. 8, lines 10-12) from the memory unit of the memory card. The Hayes et al. reference fails to teach a “card interface having a *first data port* and a *second data port*”, “for transferring data in accordance with a first (second) *standard*”. The cited reference also fails to teach a “*microcontroller* coupled to the card interface and to the memory for, if said card is a memory card, reading said computer code from said memory card by way of said second data port to said memory, for thereby updating the computer code stored in said memory so as to effect a change of the functional operation of the apparatus”. Regarding these limitations, Thomson et al. teaches an apparatus, providing interface having first and second data ports (see

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Abstract; col. 2, lines 21-33). In addition, Thomson teaches a controller coupled to the card interface (see Figure 4, "70"). Based on the identification the memory card, computer code is read from the card using the port, as to effect a change of the functional operation of the apparatus (see col. 7, lines 15-27). In addition, the Thomson reference teaches ports in accordance with different standards (see "types", Abstract). At the time of the invention, one of ordinary skill in the art would have been motivated to combine the cited disclosures in order to obtain a system having which would automatically identify the type of card inserted, in order to perform READ or WRITE operations, as taught by Thomson.

As for claim 5, Hayes et al. teaches means for producing a first signal coupled to an integrated circuit card connection and means for analyzing a second signal produced by a memory card in response to the first signal (see col. 12, lines 1-3; col. 6, lines 57-63).

As for claim 6, integrated circuit cards that are not memory cards do not produce the cited signal (see "correct type", col. 6, lines 57-63).

In consideration of claim 7, Hayes et al. teaches applying a signal to a clock signal connector of the integrated circuit card connection (see col. 7, line 45) as well as receiving a second signal on a data input/output signal connector of the integrated circuit card connection (see col. 7, lines 43-44).

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As for claim 9, Hayes et al. teaches transferring computer code from the memory card to a computer controlled device memory unit (see col. 3, lines 55-61; col. 6, lines 65-67).

Considering claim 10, Hayes et al. teaches means for accepting or rejecting the computer code for transference from the memory card to a computer controlled device memory unit (see col. 6, lines 65-67; col. 7, lines 1-12).

Regarding claim 11, Hayes et al. teaches a method for loading computer code (see Abstract; col. 2, lines 27-30; col. 3, line 58) in a computer-controlled device. Hayes et al. also teaches a smart card interface for receiving a smart card (see col. 7, lines 33-39). The disclosed method teaches a card interface (see col. 5, line 54) capable of distinguishing (identifying) card types (see col. 6, line 61). As it is well known in the art, integrated circuit cards and memory cards are types of storage devices in card format. Hayes et al. discloses a computer controlled device memory (see col. 7, line 8) for storing a computer code that is transferred (see col. 8, lines 10-12) from the memory card. Nonetheless, Hayes et al. does not explicitly teach a memory card having a *first data port* for the step of transferring the computer code. The cited art does teach the application of a memory card for high-speed communication purposes (see col. 1, line 44; col. 2, lines 36-38; col. 3, lines 51-52). Regarding these limitations, Thomson et al. teaches an apparatus, providing interface having first and second data ports (see Abstract; col. 2, lines 21-33). In addition, Thomson teaches a controller coupled to the card interface (see Figure 4, "70"). Based on the identification the memory card, computer code is read from the card using the port, as to effect a change of the functional operation of the apparatus (see col. 7, lines 15-27). Furthermore, the

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Thomson reference teaches ports in accordance with different standards (see “types”, Abstract). At the time of the invention, one of ordinary skill in the art would have been motivated to combine the cited disclosures in order to obtain a system having which would automatically identify the type of card inserted, in order to perform READ or WRITE operations, as taught by Thomson (see col. 7, line 24).

As for claim 12, Hayes et al. teaches a method including applying a first signal coupled to a memory card connection and analyzing a second signal produced by a memory card in response to the first signal (see col. 12, lines 1-3; col. 6, lines 57-63). In addition, Hayes et al. teaches a method capable of identifying card types (see col. 6, line 61).

As for claim 13, Hayes et al. does not teach a method including activating an NRSS interface. However, NRSS-type cards are well known in the art. In addition, Hayes et al. teaches an interface providing a clock signal. It would have been obvious to one of ordinary skill in the art at the time the invention was made that NRSS cards constituted a specific type of the memory cards, as disclosed by Hayes et al.

Considering claim 14, Hayes et al. teaches a method including the step of analyzing a header of the computer code to determine the validity of the computer code (see 6, line 64).

As for claim 15, Hayes et al. teaches toggling a reset signal (inherent, see col. 7, lines 41-47).

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As for claim 16, Hayes et al. teaches monitoring a clock input signal terminal for a first signal in response to the toggled signal (inherent, see col. 7, lines 41-47).

As for claim 17, Hayes et al. teaches a method where a second signal is generated in response to detection of a first signal (see col. 7, lines 41-47).

### ***Response to Arguments***

8. Applicant's arguments with respect to claims 1, 5-7, and 9-17 have been considered but are moot in view of the new ground(s) of rejection.

9. Applicant's arguments regarding the Blatter reference not teaching computer code from the NRSS port or the ISO 7816 port are moot in view of the Amendments to claims 1 and 11.

### ***Conclusion***

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

- Farges [US 6,125,405] teaches smart card reader coupled to personal computer, which has card reader plugged into parallel printer port, T connection to printer, and with reading controlled by microcomputer program.
- Laity [US 5,984,731 A] teaches removable I/O device with integrated receptacles for receiving standard plugs.
- Reber et al. [US 5,980,934 A] teaches an interface in an external device, including a PCMCIA, serial, parallel, SCSI, infrared, RF, and smart card interface.

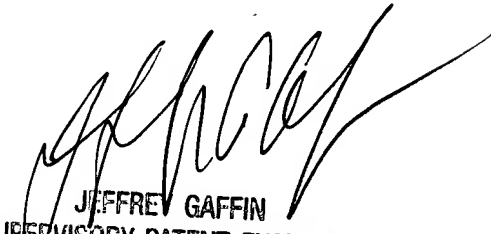
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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Angel L Casiano whose telephone number is 571-272-4142. The examiner can normally be reached on 9:00-5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey Gaffin can be reached on 571-272-4146. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Alc  
06 December 2004.



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